### SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

| OMB Number:              | 3235-0287 |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|
| Estimated average burden |           |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Add       | 1 0                  | Person*  | 2. Issuer Name and Ticker or Trading Symbol KIRBY CORP [ KEX ] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)                |  |  |  |  |  |
|-----------------------|----------------------|----------|--|--|--|--|--|--|--|
| Waterman V            | <u>Villiam M.</u>    |          |  | X Director 10% Owner   |  |  |  |  |  |
| (Last)<br>454 CANTITO | (First)<br>DE STREET | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year)<br>06/03/2021 | Officer (give title Other (specify below) below)                                       |  |  |  |  |  |
| [                     |                      |          | 4. If Amendment, Date of Original Filed (Month/Day/Year)       | 6. Individual or Joint/Group Filing (Check Applicable                                  |  |  |  |  |  |
| (Street)<br>BEDFORD   | NY                   | 10506    |  | Line)<br>X Form filed by One Reporting Person<br>Form filed by More than One Reporting |  |  |  |  |  |
| (City)                | (State)              | (Zip)    |  | Person   |  |  |  |  |  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3)         | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transa<br>Code (<br>8) |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and 5) |               | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|---|--|---|------------------------------|---|--|---------------|--|--|---|--|
|   |  |   | Code                         | v | Amount   | (A) or<br>(D) | Price  | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                       |   |  |
| Common Stock, par value \$.10 per share | 06/03/2021                                 |   | S                            |   | 25,000   | D             | \$66.1092 <sup>(1)</sup>   | 82,249   | Ι   | Waterman<br>Family<br>Trust <sup>(2)</sup> |
| Common Stock, par value \$.10 per share |  |   |                              |   |  |               |  | 76,517   | D   |  |

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, ontions, convertible securities)

(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Transaction<br>Code (Instr.<br>8) |   | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4<br>and 5) |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |  |
|---|---|--|---|-----------------------------------|---|---|-----|--|--------------------|---|--|---|--|--|---------------------------------------|--|
|   |   |  |   | Code                              | v | (A)   | (D) | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |                                       |  |

Explanation of Responses:

1. The reported prices are the weighted average sales prices. The sales were at prices ranging from \$66.08 to \$66.43 per share. The reporting person will provide, upon request by the commission staff, full information regarding the number of shares sold at each separate price.

2. Shares are held by a trust for the benefit of the reporting person's wife and adult children. The reporting person's wife is a trustee of the trust. The reporting person disclaims beneficial ownership of the trust shares except to the extent of his pecuniary interest therein.

## Ronald A. Dragg, Agent and 06/03/2021

Attorney-in-fact \*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).