SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
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1	dress of Reporting	Person*	2. Issuer Name and Ticker or Trading Symbol KIRBY CORP [KEX]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
O'Neil Chri	<u>istian G.</u>		()		Director	10% Owner				
				— x	Officer (give title	Other (specify				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)		below)	below)				
	· · ·	()	02/01/2019		President-Kirby Ir	nland Marine				
55 WAUGH DRIVE, SUITE 1000					,					
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Group Filir	ng (Check Applicable				
HOUSTON	TX	77007		X	Form filed by One Rep	porting Person				
					Form filed by More that	an One Reporting				
(City)	(State)	(Zip)			Person					

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock, par value \$.10 per share	02/04/2019		М		4,731	A	\$66.72	28,318	D		
Common Stock, par value \$.10 per share	02/04/2019		S		4,731	D	\$73.65	23,587	D		
Common Stock, par value \$.10 per share								1,751	Ι	401(k)	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Numb Derivati Securiti Acquire or Dispo of (D) (II 4 and 5)	ve es d (A) osed nstr. 3,	6. Date Exercisable and Expiration Date (Month/Day/Year) 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Restricted Stock Units	(1)	02/01/2019		A		6,090		(2)	(2)	Common Stock	6,090	\$0	6,090	D	
Employee Stock Option (Right to Buy)	\$73.93	02/01/2019		A		10,041		(3)	02/01/2026	Common Stock	10,041	\$0	10,041	D	
Employee Stock Option (Right to Buy)	\$66.72	02/04/2019		М			4,731	02/06/2015	02/06/2019	Common Stock	4,731	\$0	0	D	

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive cash or one share of common stock of the issuer.

2. The restricted stock units vest in five equal annual installments beginning on January 24, 2020. Cash or shares of common stock of the issuer, at the election of the issuer, will be delivered to the reporting person on or as soon as practicable after the vesting dates.

3. Option is exercisable 33% after one year, 67% after two years and 100% after three years from date of grant.

Ronald A. Dragg, Agent and
Attorney-in-Fact

02/05/2019

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.